

# National System of Fines

## 2019 National System of Fines Snapshot

### Violation Submissions

# Violations Reported 1,049

# Violations Acknowledged by Respondent 997

### ACH Rules Enforcement Panel Activity

# of Cases Decided by Panel 173

# of Fines Assessed 172

# Fines Assessed Against Small Asset FIs 120

# Fines Assessed Against Medium Asset FIs 9

# Fines Assessed Against Large Asset FIs 43

Total Amount of Fines Assessed \$488,500

*The National System of Fines provides the structure for evaluating possible Nacha Operating Rules violations. Through a formal system of warnings and fines, it corrects infractions and helps ACH Network Participants remain compliant with the Rules.*



To File a Rules Violation:

Visit: <https://nacha.org/violation>

Email: [Nacha-Ntwrk-Compliance@nacha.org](mailto:Nacha-Ntwrk-Compliance@nacha.org)

Fax: 703-561-0819