

2020 ACH Rules Compliance Snapshot

Violation Submissions

Violations Reported • 1,599

Violations Acknowledged by Respondent • 1,554

ACH Rules Enforcement Panel Activity

Cases Decided by Panel • 94

Fines Assessed • 92

Fines Assessed Against Small Asset FIs • 54

Fines Assessed Against Medium Asset FIs • 3

Fines Assessed Against Large Asset FIs • 35

Total Amount of Fines Assessed • \$146,500

Nacha's ACH Rules Compliance provides the structure for evaluating possible violations of the Nacha Operating Rules. Through a formal system of warnings and fines, it corrects infractions and helps ACH Network Participants remain compliant with the Rules.

