<table>
<thead>
<tr>
<th>Violation Type</th>
<th>Rule</th>
<th>Supporting Documents Required</th>
</tr>
</thead>
</table>
| NOC                                    | 2.12.1                        | Original Entry  
NOC (include the addenda record)  
Subsequent Entry                           |
| Unauthorized Entries                   | 2.3.2.2 for Consumer Accts; 2.3.1 and 2.3.3.1 for non-Consumer Accts | Original Entry  
Return                                                   |
| Failure to Provide Copy of Authorization | 2.3.2.7                      | Original Entry  
Letter to ODFI requesting authorization  
Proof of delivery (e.g. fax confirmation, certified mail receipt) |
| Failure to Provide Copy of WSUD         | 3.12.6                        | Original Entry  
Letter to RDFI requesting WSUD  
Proof of delivery (e.g. fax confirmation, certified mail receipt) |
| Entries Initiated to Invalid or Closed Accounts | 2.4.1.4                      | Original Entry  
Return                                                   |
| Ineligible Source Document             | RCK - 2.5.13.3, ARC - 2.5.1.3, BOC - 2.5.2.3, POP - 2.5.10.3 | Original Entry  
Copy of Source Doc: If the source document is an obligation of a FI, provide a printout of the account, or a copy of a blank or voided check |
| Exceeding Reinitiation Limits (non RCK) | 2.13.4                        | Original Entry  
Return  
Subsequent Entry                                    |
| Exceeding Reinitiation Limits (RCK)     | 2.13.4                        | Copy of check presentments stamped “NSF” or “Uncollected”  
Copy of ACH entries  
Copy of Receiver’s statement showing that presentments have exceeded a combination of three times as either a check or ACH |
| Improper SEC Code                      | 2.4.1.2                       | Original Entry  
Return  
Proof that a different SEC code should have been used (e.g. copy of statement or preprinted check if corporate entry to consumer’s acct) |
| Untimely Return/ Dishonored Return/ Contested Return | 3.8, 2.13.6.1, 2.13.6.3       | Original Entry  
Return Entry for Dishonored and Contested all entries involved should be included. |
| Prenotifications                       | 2.6.2                         | Original Entry  
Subsequent Entry                                      |

*ALWAYS INCLUDE THE FULL BATCH HEADER AND ENTRY DETAIL RECORDS*