2021 ACH Rules Compliance Snapshot

**Violation Submissions**

- Violations Reported • 533
- 533 Cases Reported Represented 7,841 Cited ACH Entries
- Violations Acknowledged by Respondent • 495

**ACH Rules Enforcement Panel Activity**

- Cases Decided by Panel • 115
- Fines Assessed • 109
- Fines Assessed Against Small Asset FIs • 76
- Fines Assessed Against Medium Asset FIs • 7
- Fines Assessed Against Large Asset FIs • 6
- Total Amount of Fines Assessed • $130,500

Nacha’s ACH Rules Compliance provides the structure for evaluating possible violations of the Nacha Operating Rules. Through a formal system of warnings and fines, it corrects infractions and helps ACH Network Participants remain compliant with the Rules.