

# 2021 ACH Rules Compliance Snapshot

## Violation Submissions

Violations Reported • 533 *533 Cases Reported Represented 7,841 Cited ACH Entries*

Violations Acknowledged by Respondent • 495

## ACH Rules Enforcement Panel Activity

Cases Decided by Panel • 115

Fines Assessed • 109

Fines Assessed Against Small Asset Fls • 76

Fines Assessed Against Medium Asset Fls • 7

Fines Assessed Against Large Asset Fls • 6

Total Amount of Fines Assessed • \$130,500

*Nacha's ACH Rules Compliance provides the structure for evaluating possible violations of the Nacha Operating Rules. Through a formal system of warnings and fines, it corrects infractions and helps ACH Network Participants remain compliant with the Rules.*

